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Docket Number:	<u>UWY-CV-14-6023215-S</u>
Case Name:	BRETT, DOUGLAS Et AI v. PEPPERIDGE FARM, INCORPORATED
Type of Transaction:	Pleading/Motion/Other document
Date Filed:	Jul-21-2021
Motion/Pleading by:	PULLMAN & COMLEY LLC (047892)
Document Filed:	158.00 NOTICE
	Notice of filing of revised proposed order of preliminary approval
Date and Time of Transaction:	Tuesday, July 20, 2021 7:26:54 PM

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DOCKET NO. X10-UWY-CV-14-6023215-S	:	SUPERIOR COURT
DOUGLAS BRETT, TERRENCE FOX, STEVEN PULFORD, and MARK RYAN, on behalf of themselves and all others similarly	: :	J.D. OF WATERBURY
situated, Plaintiffs,	:	COMPLEX LITIGATION DOCKET
vs.	:	JULY 20, 2021

PEPPERIDGE FARM, INC.,

Defendant.

## NOTICE OF FILING OF REVISED PROPOSED ORDER FOR PRELIMINARY APPROVAL

In accordance with the court's instructions at the July 19, 2021 hearing on the plaintiffs' Revised Unopposed Motion for Preliminary Certification of Settlement Class and Preliminary Approval of Class Settlement Agreement (the "Motion," doc. 154.00), the plaintiffs hereby submit the attached updated proposed order for preliminary approval. As discussed at the hearing, this proposed order provides that in distributing emailed copies of the class notice to those class members for whom last-known email addresses are available, the settlement administrator will request read receipts from the addressees. The proposed order otherwise remains that same as that originally attached to the Motion, and the references in Exhibits A & B referred to in the proposed order remain references to Exhibits A and B to docket entry number 154.00, the Motion.

DOUGLAS BRETT, TERRENCE FOX, STEVEN PULFORD, and MARK RYAN, on behalf of themselves and all others similarly situated,

<u>/s/ Jonathan B. Orleans</u> Jonathan B. Orleans Adam S. Mocciolo Pullman & Comley, LLC 850 Main Street PO Box 7006 Bridgeport, CT 06601 203-330-2000 phone 203-576-8888 fax jborleans@pullcom.com amocciolo@pullcom.com

Their Attorneys

### **CERTIFICATION**

Pursuant to Practice Book § 10-14, I hereby certify that a copy of the above was mailed and/or electronically delivered on the date of filing to all counsel and pro se parties of record, as follows.

Paul C. Evans Baker & McKenzie LLP 452 Fifth Avenue New York, NY 10018 paul.evans@bakermckenzie.com

Michael C. D'Agostino Benjamin K. Jacobs Morgan Lewis & Bockius LLP 1701 Market Street Philadelphia, PA 19103 michael.dagostino@morganlewis.com benjamin.jacobos@morganlewis.com

> <u>/s/ Jonathan B. Orleans</u> Jonathan S. Orleans

DOCKET NO. X10-UWY-CV-14-6023215-S	: SUPERIOR COURT
DOUGLAS BRETT, TERRENCE FOX, STEVEN PULFORD, and MARK RYAN, on behalf of themselves and all others similarly situated,	J.D. OF WATERBURY
Plaintiffs,	COMPLEX LITIGATION DOCKET
vs.	

PEPPERIDGE FARM, INC.,

Defendant.

### [PROPOSED] ORDER FOR PRELIMINARY APPROVAL

The court, having received the plaintiffs' Revised Unopposed Motion for Preliminary Certification of Settlement Class and Preliminary Approval of Class Settlement (the "Motion"), duly considered the Motion and associated briefing, and having heard the parties in a hearing on the Motion, hereby finds and orders as follows:

 A class is hereby preliminarily certified, solely for purposes of the settlement proposed in the Motion (the "Settlement"), and without prejudice to any other motion for class certification or opposition thereto in the event that the Settlement does not receive final approval. The certified class (the "Class") is defined as:

> All current and former distributors of Pepperidge Farm bakery products in Connecticut who are or have been classified as independent contractors by Pepperidge Farm, whether or not they are or have been explicitly called "SDAs," at any time between March 7, 2012 and the date of preliminary approval of the Settlement.

- Attorneys Jonathan B. Orleans and Adam S. Mocciolo, of Pullman & Comley, LLC, are hereby preliminarily appointed counsel for the Class.
- 3. Plaintiffs Douglas Brett, Terrence Fox, Steven Pulford, and Mark Ryan are hereby preliminarily appointed representatives of the Class.
- 4. The Settlement set forth in the settlement agreement attached as Exhibit A to the Motion is preliminarily approved as fair, reasonable, and adequate, and in the best interests of the plaintiffs and of the Class.
- 5. The proposed notice attached as Exhibit B to the Motion (the "Notice") is hereby approved.
- 6. Within 30 days of this order, the Notice will be (i) physically mailed to members of the class using addresses provided by the defendant, which will use reasonable efforts to provide accurate addresses; as well as (ii) emailed to the last known email address of each Settlement Class Member for whom Pepperidge Farm has such information, with confirmation of delivery requested via the "read receipt" or like function of the email system used to send it. Reasonable efforts will be made to locate members of the class whose original physical Notices are returned as undeliverable and to mail them second copies of the Notices.
- Within 30 days of this order, counsel for the Class will file applications for the attorney's fees and class representative incentive payments sought pursuant to section 2(b)(i) and 2(b)(ii) of the Settlement.
- 8. Any member of the Class may opt out of the class and be excluded therefrom by sending a notice, postmarked no later than 45 days after the mailing of the Notice, to counsel for

the Class through the settlement administrator at an address to be provided in the Notice,

containing:

- a. the Class member's name;
- b. a statement that the Class member wishes to be excluded from the settlement; and
- c. the Class member's social security number or IRS employer identification number.

If the 45th day falls on a Sunday or holiday, the deadline to opt-out will be the next

business day that is not a Sunday or holiday.

9. Any member of the Class who has not opted out may file an objection to the Settlement,

the attorney's fees application, and/or the incentive payment application no later than 45

days after the mailing of the Notice. To be considered, an objection must include:

- a. the objector's full name and address and an appearance on behalf of any counsel representing the objector;
- b. a written statement of all grounds for the objection, including a description of any evidence supporting the objection;
- c. any supporting memorandum or brief;
- d. a list of any persons who will be called to testify in support of the objection; and
- e. a statement whether the objector intends to appear at the final approval hearing, and, if such appearance will be through counsel, the identity of such counsel;

and also must be served by mail, postmarked no later than 45 days after the mailing of the

Notice, upon the following counsel:

Jonathan B. Orleans	Paul C. Evans	Michael C. D'Agostino
Adam S. Mocciolo	Baker & McKenzie LLP	Benjamin K. Jacobs
Pullman & Comley, LLC	452 Fifth Avenue	Morgan, Lewis & Bockius LLP
850 Main Street	New York, NY 10018	1701 Market Street
Bridgeport, CT 06604		Philadelphia, PA 19103.

Any member of the Class who does not file a timely objection complying with the above requirements will waive the right to appear and be heard at the final approval hearing, and any right to object to the certification of the Class, the approval of the Settlement, and the fee and incentive payment applications, either before this court or on appeal.

- 10. The parties may file written responses to any objections, and/or briefs in further support of the Settlement, at or before the final approval hearing.
- 11. A hearing on final certification of the settlement class and appointment of class counsel and the class representatives, and final approval of the Settlement will be held on Wednesday, October 20, 2021, at 2:00 pm, in an in-person or virtual format to be determined in accordance with the court's procedures in effect at the time.

SO ORDERED, this \_\_\_\_\_ day of \_\_\_\_\_, 20\_\_\_\_.

\_\_\_\_\_, J